

Certification of Beneficial Owners of Legal Entities

Financial Institution Name: Falcon International Bank	Financial Institution Location: 19230 Stone Oak Pkwy San Antonio, TX 78258
Financial Institution Contact Person: Oscar Sierra	Contact Phone Number: (210) 402-6143
Customer Portfolio/Identifier:	

I. GENERAL INSTRUCTIONS

What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

What information do I have to provide?

This form requires you to provide the name, address, date of birth and Social Security number (or passport number or other similar information, in the case of foreign persons) for the following individuals (i.e., the beneficial owners):

- (i) Each individual, if any, who owns, directly or indirectly, 25 percent or more of the equity interests of the legal entity customer (e.g., each natural person that owns 25 percent or more of the shares of a corporation); and
- (ii) An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer).

The number of individuals that satisfy this definition of "beneficial owner" may vary. Under section (i), depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii). It is possible that in some circumstances the same individual might be identified under both sections (e.g., the President of Acme, Inc. who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual (under section (ii)), and up to five individuals (i.e., one individual under section (ii) and four 25 percent equity holders under section (i)). The financial institution may also ask to see a copy of a driver's license or other identifying document for each beneficial owner listed on this form.

II. CERTIFICATION OF BENEFICIAL OWNER(S)

Persons opening an account on behalf of a legal entity must provide the following information:

Name of Natural Person Opening Account: Carlos E Garza Herrera	Title of Natural Person Opening Account: Owner
Name of Legal Entity for Which the Account is Being Opened: HYG Homes LLC	
Physical Address of Legal Entity for Which the Account is Being Opened: 84 NE Loop 410 Ste 252, San Antonio TX 78216	

Provide the following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

Name	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and country of issuance, or other similar identification number
First Carlos	04/26/1983	Street Batalla de Cerro Gordo 343		Number G18309309
Last Garza Herrera		City Morelia State & Zip Michoacan 58260		Country of Issuance Mexico
First		Street		Number
Last		City		Country of Issuance
First		State & Zip		Country of Issuance
Last		Street		Number
First		City		Country of Issuance
Last		State & Zip		Country of Issuance
First		Street		Number
Last		City		Country of Issuance
First		State & Zip		Country of Issuance
Last		Street		Number
Last		City		Country of Issuance
Last		State & Zip		Country of Issuance

If checked, Beneficial Owner listing requirement is Not Applicable

Provide the following information for one individual with significant responsibility for managing the legal entity listed above, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

(If appropriate, an individual listed under the ownership section above may also be listed in the section below).

Name/Title	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and country of issuance, or other similar identification number
First Carlos Last Garza Herrera Title Owner	04/26/1983	Street Batalla de Cerro Gordo 343 Col Chapultepec Sur City Morelia State & Zip Michoacan 58260		Number G18309309 Country of Issuance Mexico

I, Carlos E Garza Herrera (name of natural person opening account), hereby

certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____

Date: December 13, 2021

Recertification _____

CEGH

[Initials]

12/20/21
[Date]

For Institution Use Only:

Type of Document	Document ID Number	Place of Issuance	Date of Issuance	Expiration Date
Owner 1 Driver's License or _____				
Owner 2 Driver's License or _____				
Owner 3 Driver's License or _____				
Owner 4 Driver's License or _____				
Control Driver's License or _____				